

TRANSMITTAL FORM

Application Serial Number 10/660,444
Filing Date September 11, 2003
First Named Inventor Chanduszko
Group Art Unit 3734
Examiner Name Yabut, Diane D.
Attorney Docket No. NMT-015
Confirmation No. 4893

ENCLOSURES (check all that apply)

- | | | |
|--|--|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form (1 pg.)
<input checked="" type="checkbox"/> Check attached in the amount of \$180.00
<input type="checkbox"/> Copy of Fee Transmittal Form

<input type="checkbox"/> Amendment/Response
<input type="checkbox"/> Preliminary
<input type="checkbox"/> After Final
<input type="checkbox"/> Affidavits/declaration(s)
<input type="checkbox"/> Letter to Official Draftsperson including Drawings [Total Sheets ____]

<input type="checkbox"/> Petition for Extension of Time

<input checked="" type="checkbox"/> Supplemental Information Disclosure Statement (2 pgs.)
<input checked="" type="checkbox"/> Form PTO-1449 (3 pgs.)
<input checked="" type="checkbox"/> Copies of IDS Citations (B17-B24; C18)

<input type="checkbox"/> Certified Copy of Priority Document(s)

<input type="checkbox"/> Sequence Listing submission
<input type="checkbox"/> Paper Copy/CD
<input type="checkbox"/> Computer Readable Copy
<input type="checkbox"/> Statement verifying identity of above | <input type="checkbox"/> Copy of Notice to File Missing Parts of Nonprovisional Application

<input type="checkbox"/> Formal Drawing(s)

<input type="checkbox"/> Request For Continued Examination (RCE) Transmittal

<input type="checkbox"/> Power of Attorney (Revocation of Prior Powers)

<input type="checkbox"/> Terminal Disclaimer

<input type="checkbox"/> Executed Declaration and Power of Attorney for Utility or Design Patent Application

<input type="checkbox"/> Small Entity Statement

<input type="checkbox"/> CD(s) for large table or computer program

<input type="checkbox"/> Amendment After Allowance

<input type="checkbox"/> Request for Certificate of Correction
<input type="checkbox"/> Certificate of Correction (in duplicate) | <input type="checkbox"/> Notice of Appeal to Board of Patent Appeals and Interferences

<input type="checkbox"/> Appeal Brief (in triplicate)

<input type="checkbox"/> Status Inquiry

<input checked="" type="checkbox"/> Return Receipt Postcard

<input checked="" type="checkbox"/> Certificate of First Class Mailing under 37 C.F.R. 1.8 (1 pg.)

<input type="checkbox"/> Certificate of Facsimile Transmission under 37 C.F.R. 1.8

<input type="checkbox"/> Additional Enclosure(s) (please identify below) |
|--|--|---|

CORRESPONDENCE ADDRESS

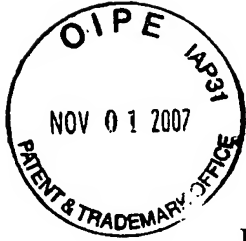
Direct all correspondence to: Patent Administrator
Kirkpatrick & Lockhart Preston
Gates Ellis LLP
State Street Financial Center
One Lincoln Street
Boston, MA 02111-2950
Tel. No.: (617) 261-3100
Fax No.: (617) 261-3175

SIGNATURE BLOCK

Date: October 30, 2007
Limited Recognition No.: L0363
Tel. No.: (617) 261-3237
Fax No.: (617) 261-3175

Respectfully submitted,


Crystal A. Komm
Attorney for the Applicants
Kirkpatrick & Lockhart
Preston Gates Ellis LLP
State Street Financial Center
One Lincoln Street
Boston, MA 02111-2950



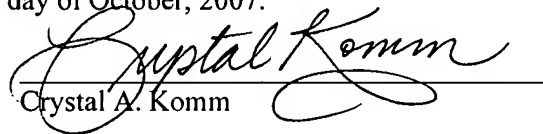
PATENT
Attorney Docket No. NMT-015

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Chanduszko *et al.* CONFIRMATION NO.: 4893
SERIAL NO.: 10/660,444 GROUP NO.: 3734
FILING DATE: September 11, 2003 EXAMINER: Yabut, Diane D.
TITLE: Septal Puncture Device

CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8

I hereby certify that this correspondence, and any documents referred to as enclosed herein, are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 30th day of October, 2007.


Crystal A. Komm

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Submitted herewith are:

1. Transmittal Form (1 pg.);
2. Fee Transmittal (1 pg.);
3. Supplemental Information Disclosure Statement (2 pgs.);
4. Form PTO-1449 (3 pgs.);
5. Copies of IDS References (B17-B24; C18);
6. Check made payable to the Director of the U.S. Patent and Trademark Office in the amount of \$180.00; and
7. a return receipt postcard.

FEE TRANSMITTAL

OTPEFY 2007

NOV 01 2007

Complete if Known

Application Serial Number 10/660,444
Filing Date September 11, 2003
First Named Inventor Chanduszeko
Group Art Unit 3734
Examiner Name Yabut, Diane D.
Attorney Docket No. NMT-015
Confirmation No. 4893

METHOD OF PAYMENT

1. ☒ Payment is enclosed:
☒ Check ☐ Money Order ☐ Other

2. ☒ The Commissioner is hereby authorized to credit or charge any fee indicated below for this submission to Deposit Account No. 50-1721.
☐ Required Fees (copy of this sheet enclosed).
☒ Additional fee required under 37 CFR 1.16 and 1.17.
☒ Overpayment Credit.

3. ☒ Applicant claims small entity status.

FEE CALCULATION

1. FILING/SEARCH/EXAM/SIZE FEES

Large Entity

Fee (\$)	Fee Description	Fee Paid
310	Utility filing fee	
510	Utility search fee	
210	Utility exam fee	
260	Utility size fee (each add'l 50 pgs. over 100)	
210	Design filing fee	
100	Design search fee	
130	Design exam fee	
260	Design size fee (each add'l 50 pgs. over 100)	

Number Filed	Number Extra	Rate	Amount
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Total Claims - 20 = x \$ 50.00 =

Independent Claims - 3 = x \$210.00 =

☐ Multiple Dependent Claim(s), if any \$370.00 =

TOTAL:

SMALL ENTITY DISCOUNT:

SUBTOTAL (1) (\$) 0.00

2. AMENDMENT CLAIM FEES

Claims Remaining After Amend.	Highest No. Previously Paid For	Present Extra	Rate	Fee Paid
Total	-	=	x \$ 50.00 =	
Indep.	-	=	x \$210.00 =	
<input type="checkbox"/> First Presentation of Multiple Dep. Claim + \$370.00 =				

TOTAL: (\$)

SMALL ENTITY DISCOUNT: (\$)

SUBTOTAL (2) (\$)0.00

CORRESPONDENCE ADDRESS

Direct all correspondence to:

Patent Administrator
Kirkpatrick & Lockhart Preston
Gates Ellis LLP
State Street Financial Center
One Lincoln Street
Boston, MA 02111-2950
Tel. No.: (617) 261-3100
Fax No.: (617) 261-3175

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
130	65	Surcharge - late filing fee or oath	
50	25	Surcharge - late provisional filing fee or cover sheet	
130	130	Non-English specification	
2,520	2,520	Request for ex parte reexamination	
120	60	Extension for reply within first month	
460	230	Extension for reply within second month	
1050	525	Extension for reply within third month	
1640	820	Extension for reply within fourth month	
2230	1115	Extension for reply within fifth month	
510	255	Notice of Appeal	
510	255	Filing a brief in support of an appeal	
1030	515	Request for oral hearing	
400	400	Petitions to the Commissioner (Gp. I)	
200	200	Petitions to the Commissioner (Gp. II)	
130	130	Petitions to the Commissioner (Gp. III)	
180	180	Submission of Information Disclosure Statement	180.00
810	405	Filing a submission after final rejection (37 CFR 1.129(a))	
810	405	For each additional invention to be examined (37 CFR 1.129(b))	
100	100	Certificate of Correction for applicant's error	
130	65	Submission of Terminal Disclaimer	
Other fee (Specify)			
Other fee (Specify)			

SUBTOTAL (3) (\$) 180.00

SUBTOTAL (1) 0.00

SUBTOTAL (2) 0.00

SUBTOTAL (3) 180.00

TOTAL (\$) 180.00

SIGNATURE BLOCK

Date: October 30, 2007
Limited Recognition No.: L0363
Tel. No.: (617) 261-3237
Fax No.: (617) 261-3175

Respectfully submitted,

Crystal A. Komm
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PATENT
Attorney Docket No. NMT-015

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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TITLE: Septal Puncture Device

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.97 and 1.98, Applicants hereby make of record the patents and publications listed on the accompanying Form PTO-1449, and other information contained herein, for consideration by the Examiner in connection with the examination of the above-identified patent application. Copies of cited non-U.S. patent documents and non-patent publications are enclosed.

Applicants also wish to inform the Examiner about the following co-pending patent applications and the Office actions thereof:

(1) U.S.S.N. 10/841,695, published as U.S. Patent Application Publication No. 2005/0101984 A1.

(2) U.S.S.N. 10/833,725, published as U.S. Patent Application Publication No. 2005/0059984 A1.

REMARKS

In accordance with the provisions of 37 C.F.R. 1.97, this statement is being filed (CHECK ONE):



- (1) within three (3) months of the **filing date** of a national application other than a continued prosecution application under 37 C.F.R. 1.53(d), or within three (3) months of the **date of entry of the national stage** as set forth in 37 C.F.R. 1.491 in an international application, or before the mailing of the **first Office**

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- action** on the merits, or before the mailing of a **first Office action** after the filing of a request for continued examination under 37 C.F.R. 1.114; or
- ☒ (2) after the period defined in (1) above but before the mailing date of any of a **final action** under 37 C.F.R. 1.113, a **notice of allowance** under 37 C.F.R. 1.311, or an action that otherwise closes prosecution in the application, and
- ☐ the requisite Statement is below, **OR**
- ☒ the requisite fee under 37 C.F.R. 1.17(p), namely **\$180.00**, is included herein; or
- ☐ (3) after the period defined in (2) above but before the payment of the **issue fee**, **AND**
- ☐ the requisite Statement is below, **AND**
- ☐ the requisite petition fee under 37 C.F.R. 1.17(p), namely **\$180.00** is included herein.

It is respectfully requested that each of the patents and publications listed on the attached Form PTO-1449, and other information contained herein, be made of record in this application.

Respectfully submitted,

Date: October 30, 2007
Limited Recognition No. L0363

Tel. No.: (617) 261-3237
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Crystal A. Komm
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